

1 **Sustainable development, climate variability and data limitation in flood management**

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9 **Abstract**

10 As the influence of climate change on flooding becomes more evident, the assumption of
11 stationarity in flood frequency estimation is no longer valid for flood planning, management and
12 infrastructural development. To alleviate climate driven flood effect on citizens and achieve
13 sustainable development goals, ground monitoring stations are established to collect long-term river
14 measurements used in managing flooding. In situations where data is limited or unavailable as often
15 the case in developing regions due to administrative, financial or technical discrepancies, an
16 alternative method such as regional flood frequency analysis is adopted to salvage the situation by
17 leveraging data from gauge stations at close proximity to the area of interest defined by
18 hydrologically similar properties. In this study we explore the use of freely available ICIWaRM
19 Regional Analysis of Frequency Tool (ICI-RAFT) to tackle the challenge of data sparsity and climate
20 variability effect on flood frequency and magnitudes. Climate index embedded in ICI-RAFT is
21 correlated to annual maximum floods and applied to account for the effect of time varying climate
22 on regional and single-site flood magnitudes. Madden-Julian Oscillation (MJO) was identified to have
23 the highest influence on flood estimates, with evidence of the difference between stationary and
24 non-stationary flood quantiles shown in a comparative display. The results assert the importance of
25 taking climate variability into cognizance in flood frequency estimation, and the need to review flood
26 management measure based on the assumption of stationarity.

27 **Keywords:** Climate change, sustainable development, Regional flood frequency, Climate Index

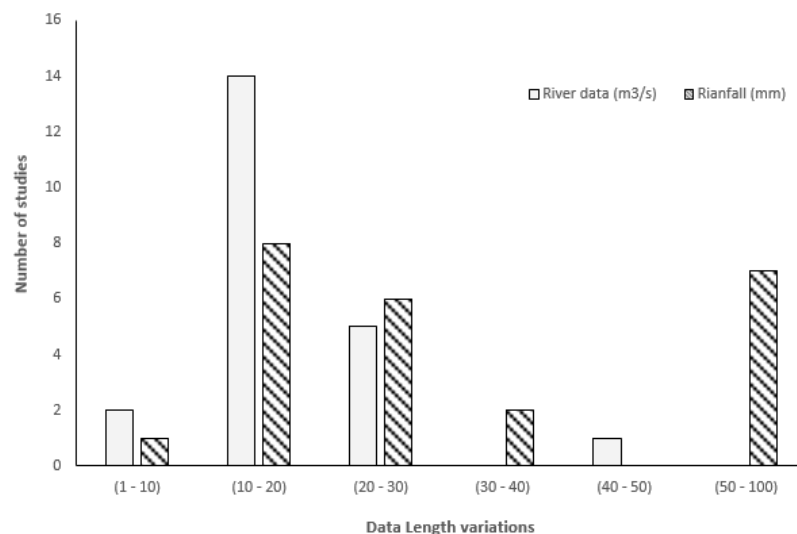
28 **1. Introduction**

29 The impact of flood disaster has been on the rise globally, owing to climate change that results in
30 irregular weather patterns and affecting all aspects of the hydrological cycle including evaporation,
31 precipitation, rainfall runoff and discharge, resulting in more frequent and intense flooding. With
32 this trend on the rise as global mean surface temperature increases to the warmest ever (Hansen et
33 al., 2016), effective flood management is needed to curtail flood menace now and in the future,
34 starting with the estimation of flood magnitude and probability of occurrence that builds from
35 historical data (Komi et al., 2016). The availability of data to estimate flood magnitudes has however
36 been a reoccurring challenge in developing countries, and this issue in Nigeria spans from the 1980s
37 (Maxwell, 2013). These challenges have been attributed to inconsistencies associated with data
38 collection and management. detailed deteriorating condition of gauge stations, personnel
39 incompetence, security and mobility challenges as some of the core causes of data sparsity, while
40 argued that financial limitations and technical know-how were the fundamental causes of ineffective
41 data management, resulting in incomplete, inaccurate, fabricated and obsolete data. Even when
42 data is available, storage in paper formats limits accessibility and applicability for informed decision
43 making.

44 Climate driven flood disasters compromise the ability to accomplish specific suitable development
 45 goals, essentially affecting people living in vulnerable locations, impacting the livelihood and socio-
 46 economic activities, disrupting infrastructure, destroying agricultural produce, 2009), impacting
 47 health adversely and the loss of lives. The sustainable development goals directly affected by climate
 48 induced flood include (I) Poverty elimination, (II) Food security, sustainable agriculture and hunger
 49 elimination, (III) quality education and learning opportunities, (IV) sustainable water management,
 50 (V) reliable, affordable and sustainable energy, (VI) sustainable economic growth, (VII) infrastructural
 51 resilience and industrialization, (VIII) safe, resilient and sustainable settlements (IX) climate change
 52 combat and impact reduction, and (X) aquatic resources conservation and sustainable use.

53 Considering that sustainable development is focused on development that meets the needs of today
 54 without compromising the chances of the future generation's ability to meet their needs, this study
 55 focuses on overcoming the challenge of data limitation and takes into cognizance climate variability
 56 effect on future floods by the application of a regional flood frequency estimation approach in
 57 Western Nigeria.

58 The dearth of hydro-meteorological data in Nigeria has been widely documented, as sparsity of
 59 measuring stations in Nigeria that leaves several catchments ungauged. Contrary to the World Me-
 60 teorological Organization hydrological network density recommendations of 384 and rain gauge
 61 density optimization suggestion of 970, only 237 hydrological and 291 rain gauge stations exist
 62 across Nigeria. Hydro-meteorological data is essentially applied in forecasting expected flood events
 63 based on past trends. The length of past data contributes to the uncertainty in derived estimates, as
 64 longer length of data results in more accurate forecast. Review of river and rainfall forecasting mod-
 65 els (Figure 1) show that rainfall data are generally longer than river discharge directly applied in
 66 flood frequency estimation needed for flood management and hydraulic structure design.



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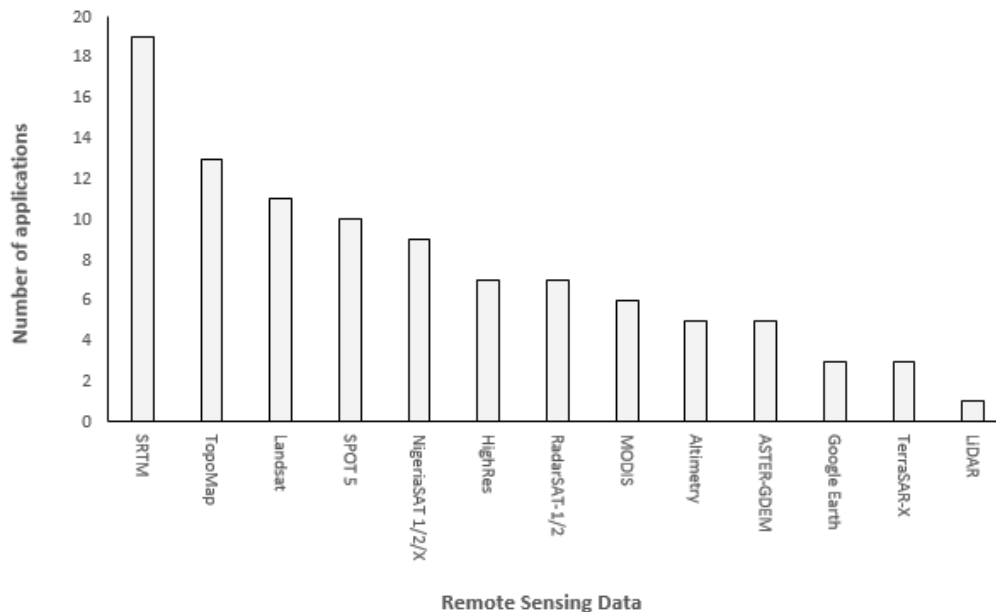
68 Figure 1 Review of data length rainfall and River discharge research in Nigeria

69 Flood frequency estimation requires fitting annual maximum time series to a predefined or best fit
 70 probability distribution function such as Generalized Extreme Value (GEV), Generalized Pareto (GP),
 71 Pearson Type III (PE3), Generalized Normal(GNO) and Generalized Logistic (GLO) when adequate
 72 data is available. Contrastingly, data limitation causes hydrologists and engineers to explore
 73 alternative approaches that integrate data from various stations at close proximity to the area of
 74 interest with remote sensing derived catchment properties to create a regional flood estimate.

75 Remote sensing (RS) in past three decades has played a crucial role in water resource management
 76 globally and Nigeria in particular. Remote sensing allows for the collection of data without being in

77 direct contact with the object under investigation, and with the challenge of accessing flooded
 78 region(s) due to road infrastructure disruption, the importance of remote sensing cannot be
 79 overemphasized. The spatial-temporal capacity of remote sensing, ease of manipulation of digital
 80 data and the peculiar advantage of radar sensor to capture cloud free day and night time images
 81 enhances inundation mapping. Despite these advantages, RS is not without limitations, as time lapse
 82 between satellite image captures, high cost associated with acquisition of high resolution imagery,
 83 cloud cover, vegetation canopy and rugged terrains, have been reported in several instances to
 84 hamper RS application, resulting in uncertain results. However, the advantages of RS significantly
 85 outweigh the disadvantages and recent advancements have led to the advent of open source
 86 remote sensing.

87 Satellite data applicable in flood management include optical and radar imagery, digital elevation
 88 models (DEMs), and altimetry, useful in flood mapping, hydrological modelling, hydraulic modelling,
 89 and serves as supplementary data for model calibration, validating and accuracy assessment. A
 90 review of 100 papers on flood research in Nigeria shows the range of data applied in flood
 91 management studies (Figure 2), presenting a high reliance on open source and low cost remote
 92 sensing.

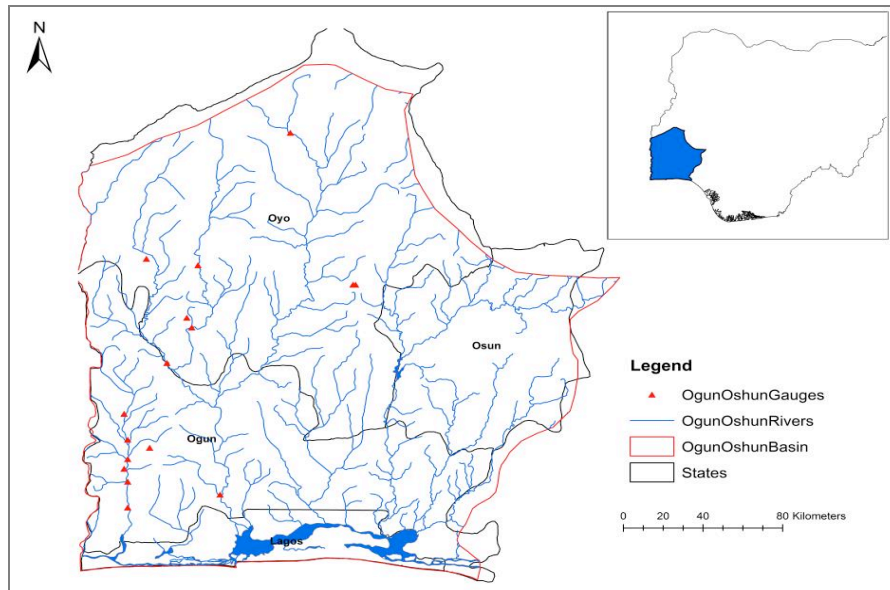


93

94 Figure 2 Remote sensing data application in Nigerian Flood Management

95 **2. Study Area and Data availability**

96 The Ogun-Oshun river basin (Figure 3) is located between 6°25' – 9°25'N latitude and 2°70' –
 97 5°10'E Longitude, bordered in the west by Ouémé River Basin, Benin Republic, east by Benin-
 98 Owena river basin, north by Lower Niger river basin and south by the Atlantic Ocean. The Ogun-
 99 Oshun river basin encompasses Ogun, Oyo, Osun and Lagos states within a 56 000km² area,
 100 with major rivers including Ogun and Osun, and tributaries comprising of Yewa, Sasa, Omi, Ofiki,
 101 Ibu, Ona, Oba and Omi discharging into the Lagos Lagoon and Atlantic Ocean.



102

103 Figure 3 Ogun-Oshun River basin, Area of Interest

104 The area is characterised by a hydrology defined by an annual rainfall ranging from 1400 to 1500
 105 (mm), mean annual temperature ranging from 25.7°C (in July) to 30.2°C (In February), humidity
 106 varying from 37 – 54% in February and 78 – 85% between June and September. Also the region
 107 being located within the tropical rainy climate is influenced by tropical continental (cT) and tropical
 108 maritime (mT) air masses responsible for the southwest and northeast winds respectively, that
 109 creates the Intertropical Convergence Zone (ITCZ) which results in seasonal pressure belts and
 110 isotherm shifts and climate variability. Climate varying conditions have been reported to be the
 111 cause of intense short duration rainfall that consequently result in flooding within the Ogun-Oshun
 112 river basin.

113 The data used in this study was acquired from the Ogun – Oshun river basin (OORB) and other
 114 literature including, and is presented in Table 1. Catchment areas were derived from Shuttle Radar
 115 Topography Mission (SRTM) digital elevation model. AMS data from 17 gauge stations was used in
 116 this study, with data points varying from 14 to 29 from the years 1966 to 2012.

117 3. Methodology

118 Typically, most hydrologic flood frequency studies are based on the assumption of homogeneity,
 119 implying that climate and environmental conditions do not change over time. However, with
 120 evidence of climate change manifesting in the past decades and its effect on precipitation and
 121 resultant run-off and discharge, this assumption is obsolete and Non-stationary flood frequency is
 122 advised to capture this variability. In this study ICIWaRM Regional Analysis of Frequency Tool (ICI-
 123 RAFT) is employed, a freely available software that enables L-Moment regional flood frequency
 124 implementation while accounting for climate variability by integrating a range of climate index
 125 provided by the National Oceanographic and Atmospheric Administration (NOAA). Prior to L-
 126 moment regional flood frequency implementation, preliminary data analyses are undertaken to
 127 augment missing data using multiple imputation, identify outliers, detect trends, test for
 128 homogeneity and serial correlation.

129 4. Results and Discussion

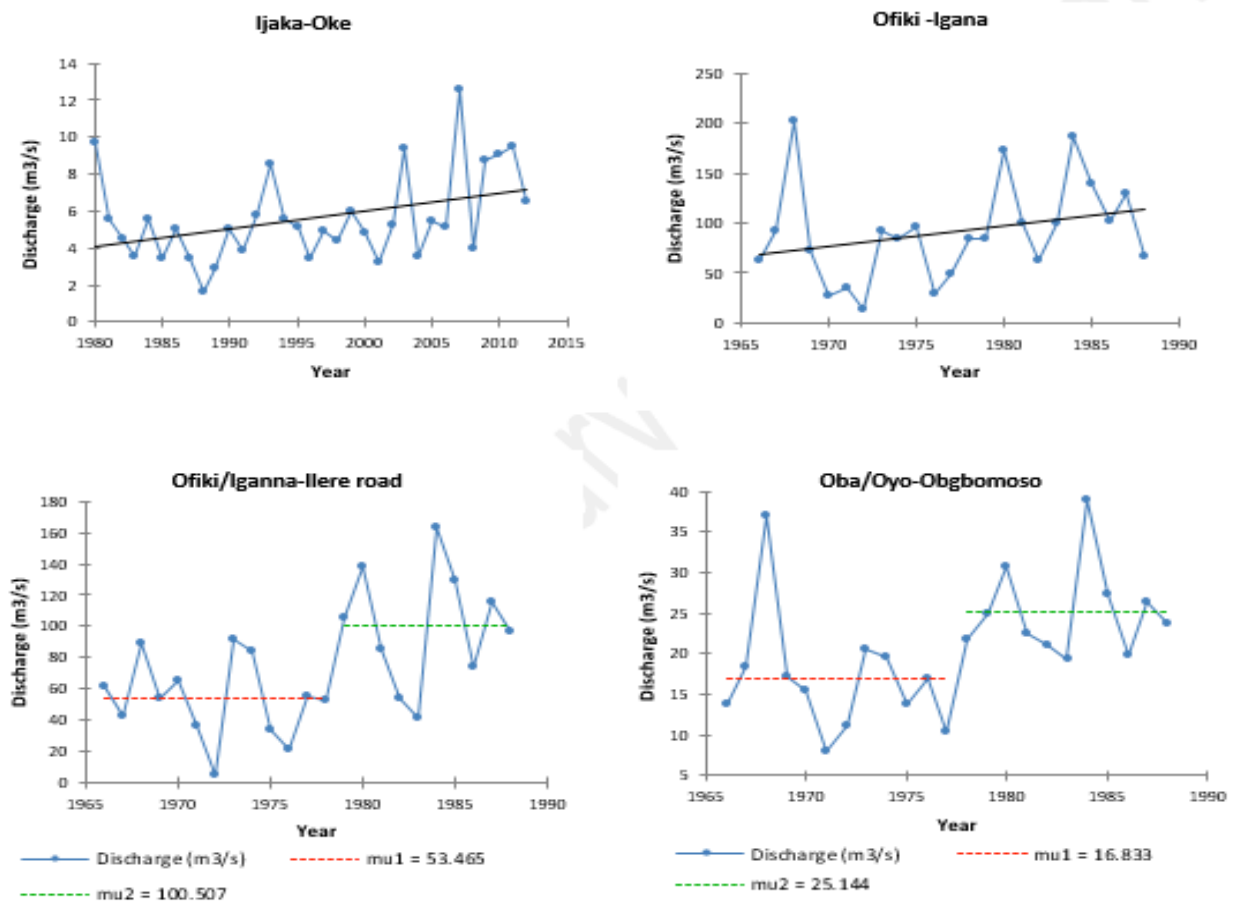
130 Data preparation results are presented in Table 1, highlighting filed missing data, identified outliers,
 131 trends, homogeneity and serial correlation within the dataset. P-values are used to denote the
 132 significance of outliers, trends, and data homogeneity, with $P < 0.05$ indicating strong significance.

133 Serial correlation on the other hand is stipulated to range from -1 to 1, indicating strong non-
 134 correlation and correlation respectively. Low lying outliers were largely discordant from the time
 135 series, and thus were treated as missing values, while high outliers were consistent with recorded
 136 flood events. Four significantly trended and heterogeneous site including Ijaka-Oke, Ofikki-Igana,
 137 Ofiki/Iganna-Ilere road and Oba/Oyo-Obgomoso were identified and the time series of trends and
 138 break-point analysis plots are presented in Figure 4. The highest level of correlation between climate
 139 index and annual maximum series was observed to be linked to the Madden-Julian Oscillation (MJO).
 140 The correlation coefficients based on MJO were Ijaka-Oke = 0.27, Ofikki-Igana = 0.42, Ofiki/Iganna-
 141 Ilere road = 0.31 and Oba/Oyo-Obgomoso = 0.45.

142 Table 1 Preliminary test results

S/N	Station ID	N	Missing	Outlier	Trend	(+/-)	Homogeneity	Lag1 correlation
1	Ijaka-Oke	33	6	0.464	0.001	+	0.081	0.516
2	Eggua	33	7	0.017	0.721	+	0.149	0.083
3	Ebute Igboro	33	8	0.005	0.420	+	0.193	0.083
4	Idogo	33	9	0.001	0.768	+	0.776	0.330
5	Ajilete	33	4	0.016	0.457	-	0.290	-0.025
6	Yewa Mata	14	0	0.049	0.518	-	0.885	-0.209
7	Oyan/Ilaji-Ile	26	0	0.838	0.000	-	0.548	0.319
8	Ona river	18	0	0.955	0.654	-	0.439	0.019
9	Oshun/Iwo railway	24	0	0.061	0.132	+	0.189	0.305
10	Oba/Oyo-Obgomoso	23	0	0.298	0.016	+	0.0013	0.272
11	Ofiki/Ofiki town	23	1	0.128	0.566	+	0.6594	-0.254
12	Ofiki/Iganna-Ilere road	23	0	0.370	0.057	+	0.0133	0.302
13	Ofiki/Igangan	23	0	0.398	0.057	+	0.0472	0.274
14	Ogun/Shepeteri	23	0	0.079	0.172	+	0.1838	-0.164
15	Ogun/Oyo-Iseyin road	23	0	0.312	0.566	+	0.4437	0.125
16	Ogun/Ibaragun	24	0	0.279	0.472	+	0.463	-0.018
17	Ogun/Olokemeji	22	0	0.000	0.617	-	0.170	0.077

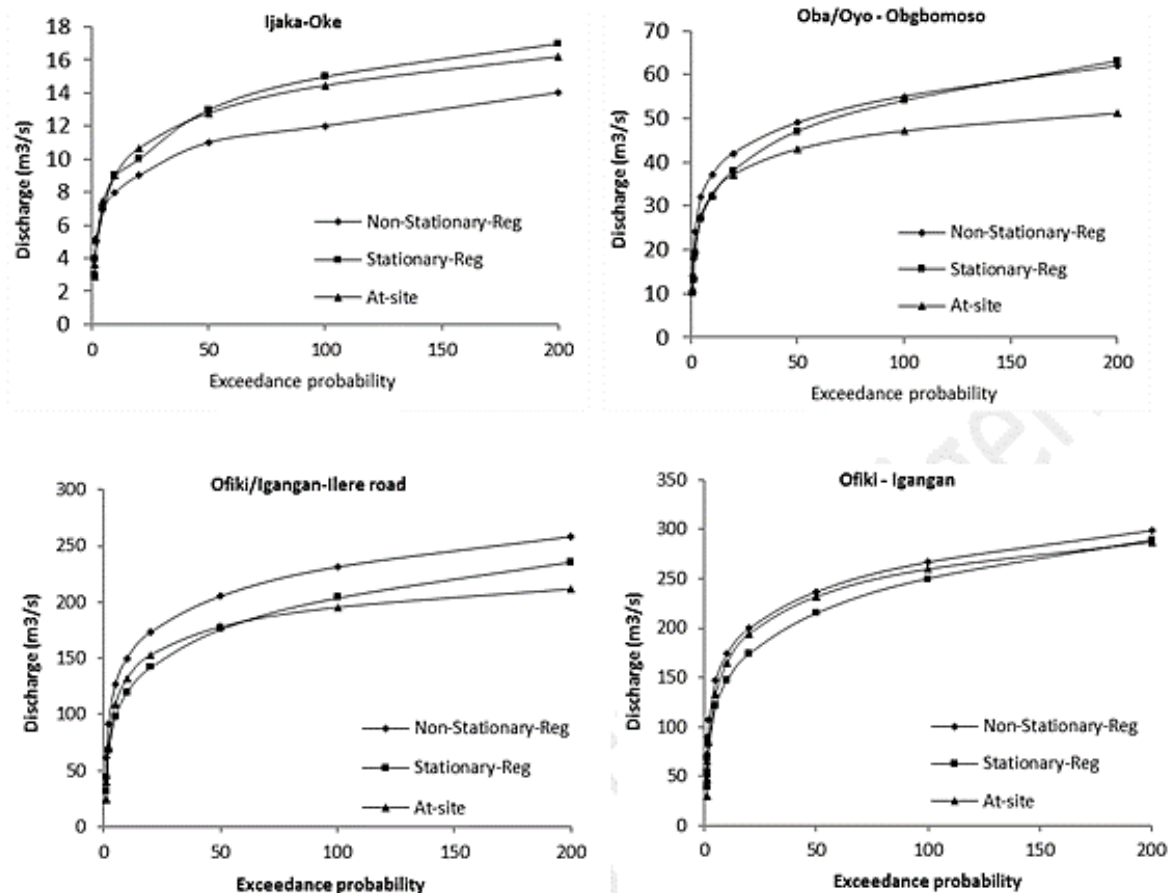
Trend-direction (+/-), Outlier, Trend and Homogeneity depicted by p-values, Lag1 correlation varies from -1 to 1



144

145 **Figure 4** Trend display and break points in Non-Stationary data stations

146 Results capturing the effect of climate indices inclusion are presented in **Error! Reference source not**
 147 **found.** for identified homogenous sites. Evidence of climate variability impact on quantile estimates
 148 increases with increasing return periods, thus proving the time dependency of the covariate
 149 function. Also, sites with high climate indices annual flood times series correlation showed reduced
 150 difference in flood frequency estimates at each return period. For the four comparative stations,
 151 contrasting levels of quantile estimates were recorded, Ofiki/Iganna-Ilere road and Oba/Oyo-
 152 Obgbomosho stationary and non-stationary flood magnitudes were higher than direct (single-site)
 153 flood magnitudes, different from Ijaka-Oke were stationary regional and single-site flood estimates
 154 are higher non-stationary regional and at Ofiki-Iganna stationary non-regional and direct flood
 155 quantile estimates were higher than those of stationary regional.



156

157 **Figure 5** Probability plots of Stationary, Non-stationary regional and At-site flood frequency analysis

158 5. Conclusion

159 The use of climate index embedded in freely available ICIWaRM Regional Analysis of Frequency Tool
 160 (ICI-RAFT) software was explored in this study to assess climate variability effect on non-stationary
 161 flood quantile in the sparsely gauged region of Western Nigeria. Thus ensuring future changes in
 162 climate is taken into account to ensure sustainable flood management approach deployment and
 163 reduce impact on the populace. 17 gauges in the Ogun-Oshun basin were analysed to develop a
 164 region flood quantile used in estimating flood magnitudes within the sparsely gauged river. The
 165 results show the relevance of incorporating non-stationarity in flood frequency estimation, the value
 166 of remote sensing in supporting flood management in developing regions. Therefore, the need for
 167 review in flood analysis standards is advice to ensure improved flood management planning and
 168 implementation.

169 Acknowledgment

170 The researcher acknowledges the Niger Delta Development Commission (NDDC) for funding the first
 171 author's PhD study, the United States Army Corp of Engineers (USACE) for making available ICI-RAFT
 172 software and Dr Giovannettone, J. for his advice and comments on ICI-RAFT usage.

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